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Z-Selective Intramolecular Horner—Wadsworth– Emmons Reaction for the Synthesis of Macrocyclic Lactones

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ABSTRACT



When the substrates $(ArO)_2P(O)CH_2CO_2$ ---CHO (Ar = Ph, *o-t*-BuPh) were added to a THF solution containing 3 equiv of NaH at 0 °C or Nal-DBU at rt over 1–10 h, the intramolecular Horner-Wadsworth-Emmons reaction proceeded efficiently to give the 12–18-membered-ring lactones in 69–93% yields with 89–100% Z selectivity. On the other hand, (EtO)₂P(O)CH₂CO₂---CHO gave the 13–18-membered-ring lactones in 52–82% yields with 89–99% *E* selectivity using LiCl-DBU in MeCN or THF.

Since there are a variety of macrocyclic lactones, so-called macrolides in natural products, and they have an interesting biological activity, a number of methods for their construction have been reported. After the first reports by Stork and Nakamura¹ and Nicolaou et al.² in 1979, the intramolecular Horner–Wadsworth–Emmons (HWE) reaction has been one of the most reliable macrocyclization procedures. These reactions gave E- α , β -unsaturated lactones with high selectivity. On the other hand, there are many bioactive macrolides containing a Z- α , β -unsaturated lactone moiety such as macrolactin A,³ dictyostatin,⁴ phorboxazoles,⁵ and laulimalide.⁶ In general, the HWE reaction preferentially gives

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more stable *E*-olefins. In order to prepare *Z*-olefins, the special HWE reagents were invented by Still et al. $((CF_3CH_2O)_2P(O)CH_2CO_2Me)^7$ and by us (**1** in Scheme 1).⁸



These reagents have been successfully applied for the synthesis of Z- α , β -unsaturated esters with high selectivity

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from a variety of aldehydes. However, when these reagents were applied for the intramolecular HWE reaction, low to moderate selectivity and/or low yields were generally reported.^{9,10} For example, Ghosh mainly received the *E* product for the synthesis of laulimalide.^{9a} The *Z/E* ratios were 1:1.7 and 1:2 using our reagent and Still's reagent, respectively. After Aristoff's report,^{11,12} K₂CO₃ and 18-crown-6 in toluene have been used for the *Z*-selective intramolecular HWE reaction. In fact, all the intramolecular HWE reaction conditions for the *Z*-selective intramolecular HWE reaction have never been fully optimized, we decided to define the satisfactory reaction conditions. Here, we report our results of the intramolecular HWE reaction have never been fully exactly reaction containing 12- to 18-membered-ring lactones.

Benzyl diphenylphosphonoacetate **2a** was prepared by treatment of diphenyl methylphosphonate with 2 equiv of LiHMDS in the presence of ClCO₂CH₂Ph in THF, and the other phosphonates **2b**-**d** were obtained by heating (RO)₂P(OEt)¹³ with BrCH₂CO₂CH₂Ph in high yields (Scheme 2). The phosphonoacetic acids **3a**-**d** were prepared by

Scheme 2							
$(PhO)_2P(O)CH_3 \xrightarrow{CICO_2CH_2Ph, THF} (PhO)_2P(O)CH_2CO_2CH_2Ph$ LiHMDS, -78 °C 2a 89%							
(RO) ₂ P-OEt $\xrightarrow{\text{BrCH}_2\text{CO}_2\text{CH}_2\text{Ph}}$ (RO) ₂ P(O)CH ₂ CO ₂ CH ₂ Ph R = o-MePh 2b , o-tBuPh 2c , Et 2d							
$2 \frac{Pd/C, H_2}{AcOEt} (RO)_2 P(O)CH_2CO_2H \qquad 3a \qquad 3b \qquad 3c \qquad 3d \\ R = Ph \ o-MePh \ o-tBuPh \ Et \\ 92\% \qquad 91\% \qquad 97\% \qquad 99\%$							
$(EtO)_{2}P(O)CH_{2}CO_{2}Et \xrightarrow{KOH} (EtO)_{2}P(O)CH_{2}CO_{2}H$ $4 H_{2}O-EtOH 3d 93\%$							

hydrogenolysis of **2** with Pd/C in 91-99% yields. Diethyl phosphonoacetic acid **3d** was also obtained by hydrolysis of **4** in 93% yield.

The intramolecular HWE substrates 7-11 were prepared by esterification of phosphonoacetic acid **3** with mono-THP- protected diols **5**, followed by deprotection and oxidation as outlined in Scheme 3. Oxidation of **5** with PCC and the

Scheme 3							
$(RO)_{2}P(O)CH_{2}CO_{2}H \xrightarrow{THPO(CH_{2})_{n}OH 5} (RO)_{2}P(O)CH_{2}CO_{2}(CH_{2})_{n}OTHP \xrightarrow{3} (RO)_{2}(CH_{2})_{n}OTHP \xrightarrow{3} (RO)_{2}P(O)CH_{2}(CO)CH_{2}(CO)$							
$\begin{array}{c c} 1) \text{ PPTS, EtOH, 75 °C, 84-98\%} \\ \hline \\ 2) \text{ PCC, CH}_2\text{Cl}_2, 78-92\% \\ (\text{R} = \text{Et 36-64\%}) \end{array} \xrightarrow{(\text{RO})_2\text{P}(\text{O})\text{CH}_2\text{CO}_2(\text{CH}_2)_{n-1}\text{CHO}} \\ \hline \\ 7 \text{ n} = 9 \text{ 8 n} = 10 \text{ 9 n} = 12 \\ 10 \text{ n} = 13 \text{ 11 n} = 15 \end{array}$							
$5 \xrightarrow{1) \text{PCC, 61-75\%}}_{2) 4, \text{ LiCI, DBU, THF}} \xrightarrow{\text{THPO}(CH_2)_{n-1}CH=CHCO_2Et}_{12}$							
1) DIBAL-H, PhMe <u>80-93%</u> (RO) ₂ P(O)CH ₂ CO ₂ CH ₂ CH=CH(CH ₂) _{n-1} OTHP 2) 3 , DCC, DMAP CH ₂ Cl ₂ , 75-99% 13							
$\begin{array}{c ccccc} 1) \mbox{ PPTS, EtOH, 89-95\%} \\ \hline 2) \mbox{ PCC, CH}_2CI_2, \\ 78-84\% \ (R = Et \ 40-76\%) \end{array} (RO)_2 \mbox{ P(O)CH}_2CO_2CH_2CH=CH(CH_2)_{n-2}CHO \\ \hline 14 \ n = 9 \ 15 \ n = 12 \end{array}$							

following HWE reaction with 4 using LiCl–DBU^{15,14} gave E- α , β -unsaturated esters 12. Reduction of 12 to the alcohols, esterification with 3, deprotection, and then oxidation gave the intramolecular HWE subatrates 14 and 15 containing the *E*-olefin. Although the yields were not optimized, the procedure is efficient especially for the diaryl phosphonoacetate reagents.

The intramolecular HWE reaction of **9** was summarized in Table 1.¹⁶ The HWE reaction of **9a** was performed using a procedure similar to the intermolecular HWE reaction.^{8b} That is, **9a** was treated with NaH in THF at -60 °C, and then the mixture was gradually warmed to 0 °C over 1 h. Only 12% yield of the 15-membered-ring lactone **Z-16** was obtained. The Z/E ratio of all the obtained HWE products is 92:8 (entry 1). The main products were oligomeric (dimeric and/or trimeric) lactones (58% yield). The formation of oligomers was suppressed when a THF solution of **9a** was added to a THF solution containing 3 equiv of NaH over 4 h at 0 °C (entry 2). Gladly and somewhat surprisingly, the Z selectivity did not reduce even at 0 °C, and a 73% yield of **Z-16** was obtained. **Z-16** was also efficiently obtained using NaI–DBU^{8e} as a base in the highest yield (84%) (entry

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⁽¹³⁾ Diaryl ethyl phosphites $(ArO)_2P(OEt)$ (Ar = *o*-MePh, *o*-*t*-BuPh) were prepared using Touchard's procedure.¹⁴

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⁽¹⁶⁾ All the HWE products and the reagents described in this paper were characterized by 400 MHz ¹H NMR spectra and mass spectroscopy. The Z/E ratios were determined by integration of the vinyl proton signals.

Table 1. Intramolecular HWE Reaction of 9



^{*a*} 3 equiv of base was used except for entry 1 (1.4 equiv). ^{*b*} The addition was carried out over the specified time. The final concentration was 0.01 mol L⁻¹ except for entry 1 (0.05 mol L⁻¹). ^{*c*} The reaction mixture was warmed over 1 h. ^{*d*} 18-crown-6 (5 equiv). ^{*e*} Yield of *E*-16. ^{*f*} Not detected.

3). Using the standard conditions for the Z-selective intramolecular HWE reaction, K₂CO₃ and 18-crown-6 in toluene,¹¹ 54% yield of **Z-16** was obtained with 83:17 selectivity along with 20% oligomers (entry 4). In addition, LiCl-DBU in THF gave lower selectivity (81:19) (entry 5). Sodium base gave the highest Z-selectivity, the same as the intermolecular HWE reaction.⁸ In our former study, we found that orthosubstituted phenyl reagents ($R^1 = Me$ and *i*-Pr in 1) showed higher Z selectivity. After that, Touchard et al. reported the improvement of selectivity at 0 °C using the o-t-BuPh reagent.^{14,17} In the presence of 3 equiv of NaI–DBU, the o-t-BuPh reagent 9b reacted to give Z-16 in 70% yield with 97:3 selectivity at 0 °C. At room temperature, 84% yield was obtained with slightly reduced 96:4 selectivity (entry 7). The reaction using NaH gave similar results as NaI-DBU (entries 8-9). A better 80% yield was obtained at 0 °C with 97:3 selectivity. Thus, from the Ph reagent 9a or o-t-BuPh reagent 9b, Z-16 was obtained in as high as 84% yield with 91–97% Z selectivity (entries 3, 7, and 8). On the other hand, the Et reagent 9c was added to a solution containing 3 equiv of LiCl and DBU in MeCN to give *E*-16 in 73% yield with 2:98 E selectivity (entry 10).

The results of the intramolecular HWE reaction of **8** were summarized in Table 2. Using both high dilution conditions and NaH as a base, the 13-membered-ring lactone **Z-17** was obtained in 52% yield with 94:6 selectivity from the Ph reagent **8a** (entry 1). Although the selectivity is lower, the highest yield of **Z-17** (75%) was obtained by using NaI–DBU at room temperature (entry 3). Disappointingly, *o*-MePh reagent **8b** gave lower 86:14 selectivity (entry 4). In the intermolecular HWE reaction, *o*-MePh reagent **1** ($\mathbb{R}^1 = \mathbb{M}e$) gave higher Z-selectivity (93–99% for a variety of aldeTable 2. Intramolecular HWE Reaction of 8



entry	8	$base^{a}$	$conditions^b$	yield of Z-17 (%)	oligomers (%)	Z/E
1	8a	NaH	0 °C, 4 h	52	17	94:6
2	8a	NaI-DBU	0 °C, 4 h	69	8	90:10
3	8a	NaI-DBU	rt, 4 h	75	7	89:11
4	8b	NaI-DBU	rt, 10 h	63	16	86:14
5	8c	NaI-DBU	rt, 10 h	69	4	93:7
6	8c	NaH	rt, 10 h	59	3	99:1
7	8c	NaH	0 °C, 10 h	60	7	99:1
8	8d	LiBr-DBU	rt, 4 h	52^d	12	6:94
9^1	8d	$LiHMDS^c$	rt, 15 h	66^d	<1	0:100

^{*a*} 3 equiv of base was used. ^{*b*} The addition was carried out over the specified time. The final concentration was 0.01 mol L^{-1} . ^{*c*} 1.1 equiv base in the presence of 1% HMPA.¹ ^{*d*} Yield of *E*-17.

hydes) than the Ph reagent at -78 °C or by warming from -78 to 0 °C.^{8b} The *o*-*t*-BuPh reagent **8c** gave **Z**-**17** in 69% yield with 93:7 selectivity using NaI–DBU and in 60% yield with 99:1 selectivity using NaH (entries 5 and 7). Generally speaking, NaH gave the same or slightly higher *Z* selectivity than NaI–DBU. The yields were higher at 0 °C for NaH and at room temperature for NaI–DBU. Since the formation of the smaller 13-membered ring is rather difficult, the Ph reagent **8a** may be the reagent of choice because of its higher reactivity. The Et reagent **8d** gave *E*-**17** in 52% yield using LiBr–DBU in THF together with oligomers (12% yield) (entry 8). Although we did not optimize the *E*-selective reaction, slower addition might increase the yield of *E*-**17**. Stork and Nakamura reported the exclusive synthesis of *E*-**17** in 66% yield by the addition of **8d** over 15 h.¹

Similarly, a solution of 10 (R = o-t-BuPh) was added to a THF solution containing 3 equiv of NaI and DBU at room temperature over 10 h to give the 16 membered ring lactone **Z-18** in 93% yield (Z/E = 94.6) (Figure 1). The addition time can be reduced for easier cases. In fact, Z-18 was obtained in 89% yield (Z/E = 95:5) by the addition of 10 over 1 h. Using NaH at 0 °C gave Z-18 in 76% yield with 94:6 Z selectivity. While, the reaction of 10 (R = Et) using 3 equiv of LiCl and DBU in MeCN gave E-18 in 82% yield with 2:98 E-selectivity. The 18-membered-ring lactone Z-19 was obtained in 71% yield (Z/E = 96:4) using NaH at 0 °C and in 77% yield (Z/E = 94:6) using NaI-DBU at room temperature from 11 (R = o-t-BuPh). On the other hand, 11 (R = Et) gave *E*-19 in 82% yield (*Z*/*E* = 2:98). In addition, the 12-membered-ring lactone Z-20 was obtained in 69% yield (Z/E = 99:1) and 67% yield (Z/E = 100:0) by the addition of 7 (R = o-t-BuPh) over 10 and 1 h, respectively. Interestingly, the Z selectivity obtained from 1 h addition was slightly better than the result from 10 h addition for

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both 7 and 10. Using NaH at 0 °C gave Z-20 in 55% yield with 100% Z selectivity. The HWE reaction of 7 (R = Et) gave the HWE products E1, E2, E3, and Z1 in 92% combined yield (43:32:20:5 ratio), and the Z/E ratio of all the obtained HWE products was 5:95. Since these HWE products were not perfectly separated, the monomer *E*-20 has not been specified yet. The 14-membered-ring lactone Z-21 having an *E*-alkenyl group was prepared from 14 (R = o- t-BuPh) using either NaH at 0 °C or NaI–DBU at room temperature in 79 or 87% yield highly selectively (98–99% Z), while 14 (R = Et) gave *E*-21 in 53% yield with 11:89 *E* selectivity. The 17-membered-ring lactone Z-22 was also prepared from 15 (R = o-t-BuPh) using NaI–DBU in 90% yield, and *E*-22 was obtained from 15 (R = Et) in 73% yield with 1:99 *E* selectivity.

In summary, the intramolecular HWE reaction of the substrates $(ArO)_2P(O)CH_2CO_2$ ----CHO (Ar = Ph, o-t-BuPh) gives the 12–18-membered-ing Z-lactones in good to high yields using NaI–DBU at room temperature or NaH at 0 °C in THF. The substrate should be added to a THF solution containing 3 equiv of base over 1–10 h. The 13–18-membered *E*-lactones were also selectively obtained from $(EtO)_2P(O)CH_2CO_2$ ----CHO. In this study, we succeeded in showing that the Z-selective intramolecular HWE reaction is very useful for the synthesis of simple Z- $\alpha_{\alpha}\beta$ -unsaturated lactones. As Stork mentioned, the most difficult cyclization will, in general, involve the least-substituted precursors because of more degrees of freedom.¹ We are planning to use this method for the synthesis of naturally occurring bioactive macrolides containing Z- $\alpha_{\alpha}\beta$ -unsaturated lactone moiety.

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Supporting Information Available: A typical experimental procedure and compound characterization data. This material is available free of charge via the Internet at http://pubs.acs.org.

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